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JUL 18 2006

HUBR-1286-US (10509926)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Steidl, et al.

Serial No. : 10/551,526

Filed : October 25, 2005

For : ELECTROSTERICALLY STABILIZED AQUEOUS  
POLYURETHANE RESINS, METHOD FOR THE  
PRODUCTION THEREOF, AND USE THEREOF

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

July 18, 2006

I hereby certify that this correspondence is being sent by facsimile to  
1-571-273-8300 addressed to: Commissioner for Patents, P.O. Box  
1450, Alexandria, Va. 22313-1450 on

Eileen Sheffield

Signature

Date

**REQUEST FOR CORRECTED FILING RECEIPT**

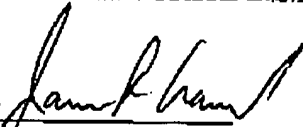
Sir:

Applicants request a corrected filing receipt in the above-identified patent application  
as follows:

Under "Applicants", the name of Franz Wolfertstetter is misspelled. Attached is a  
copy of the executed declaration as filed.

Respectfully submitted

FULBRIGHT &amp; JAWORSKI L.L.P.

By   
James R. Crawford  
Reg. No. 39,155

666 Fifth Avenue  
New York, New York 10103  
(212) 318-3148



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,526	09/30/2005	1713	2030	NY-HUBR-1286-US		38	2

24972  
FULBRIGHT & JAWORSKI, LLP  
666 FIFTH AVE  
NEW YORK, NY 10103-3198

CONFIRMATION NO. 6204

## FILING RECEIPT

\*OC000000019194845\*

Date Mailed: 06/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections. ☐ ☒

## Applicant(s)

Norbert Steidl, Kienberg, GERMANY;  
Alois Maier, Engelsberg, GERMANY;  
Franz (Wolferstetter) Palling, GERMANY;  
Christian Huber, Trostberg, GERMANY;  
Sascha Raspl, Garching, GERMANY;

Initials 1st W Initials 2nd ECQ

JUN 19 2006

Attorney

JRL

Docket No.

NY-Hubr 1286-US

Action Req'd

Date Due

Power of Attorney: The patent practitioners associated with Customer Number 24972.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03480 04/01/2004

## Foreign Applications

GERMANY 103-15-175.3 04/03/2003

If Required, Foreign Filing License Granted: 08/08/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,526**

Projected Publication Date: 09/14/2006

Non-Publication Request: No

Early Publication Request: No

**Title**

Electrosterically stabilized aqueous polyurethane resins, method for the production thereof, and use thereof

**Preliminary Class**

524

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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
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JUL 18 2006

Type a plus sign (+) inside this box → Approved for use through 9/30/98 PTO/SB/01 (4-98)  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE OMB 0651-0032DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION☐ Declaration OR  
Submitted with Initial Filing ☒ Declaration  
Submitted after Initial Filing

Attorney Docket Number: HUBR-1286

First Named Inventor: Steidl, et al.

## COMPLETE IF KNOWN

Application Number: 10/551,526

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Electrosterically stabilized aqueous polyurethane resins,  
method for the production thereof, and use thereof

the specification of which

(Title of the invention)

☐ is attached hereto  
OR☒ was filed on (MM/DD/YYYY)

April 01, 2004

as United States Application Number or PCT International

Application Number

PCT/EP2004/003480

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understood the contents of the above identified specification, including the claims, as amended by any amendments specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §385(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
103 15 175.3	Germany (DE)	April 03, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.					
I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.					
Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.			

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Approved for use through 3/30/00. OMB 0551-0002  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent  
NumberParent Filing Date  
(MM/DD/YYYY)Parent Patent Number  
(if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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024972

OR

☐ Registered practitioner(s) name/registration number listed belowPlace Customer  
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Name

Registration  
Number

Name

Registration  
Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

☒ Customer Number  
or Bar Code Label

024972

OR

☐ Correspondence address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Norbert

Family Name or Surname

Steidl

Inventor's  
Signature*N. Steidl*

Date

11/09/2005

Residence: City

Kienberg

State

Country

Germany

Citizenship

DE

Post Office Address

Am Laheringer Feld

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83361 Kienberg, Germany

City

State

ZIP

Country

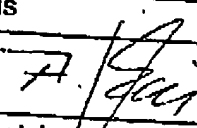
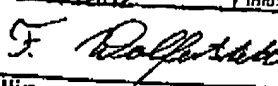
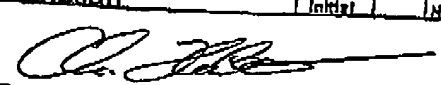
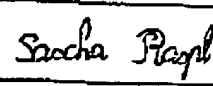
☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

(Page 2 of 2)

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Alois	Middle Initial		Family Name	Maier	Suffix	
Inventor's Signature					Date	05/03/22	
Residence: City	Engelsberg	State		Country	Germany	Citizenship	DE
Post Office Address	Maderlechnerstr. 7						
Post Office Address	84549 Engelsberg, Germany						
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Franz	Middle Initial		Family Name	Wolfertstetter	Suffix	
Inventor's Signature					Date	14.09.2005	
Residence: City	Palling	State		Country	Germany	Citizenship	DE
Post Office Address	Polsing 2						
Post Office Address	83349 Palling, Germany						
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Christian	Middle Initial		Family Name	Huber	Suffix	
Inventor's Signature					Date	22.09.2005	
Residence: City	Trostberg	State		Country	Germany	Citizenship	DE
Post Office Address	Söldenhofstr. 16						
Post Office Address	83308 Trostberg, Germany						
City		State		Zip		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Sascha	Middle Initial		Family Name	Raspl	Suffix	
Inventor's Signature					Date	04.10.05	
Residence: City	Garching	State		Country	Germany	Citizenship	DE
Post Office Address	Hangstr. 13						
Post Office Address	84518 Garching, Germany						
City		State		Zip		Country	
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							